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You'll also have unlimited access to securities-related codes and rules, including local regulations, SEC Releases and No-Action Letters, and expert practice guides and treatises.

With these indispensable tools combined into one convenient resource, you can easily gather and review important factual information as well as stay informed on frequently changing information to accurately advise your clients.

## SEC and SRO Materials

The SEC and Self Regulatory Organizations take actions and make decisions daily that can have a significant impact on your clients' business. Coverage includes:

- SEC No-Action Letters
- SEC Releases
- NASD Materials
- NYSE Materials
- Stock Exchange Disciplinary Decisions

## The "Blue Books"

The West Securities Law Series Multibase lets you search a combination of 46 leading securities law treatises and texts with one query – to get expert analysis and guidance on your issue from every possible angle. Or you can limit your search to one or more specific treatises, including such respected titles as:

- *Bromberg & Lowenfels on Securities Fraud and Commodities Fraud*, 2d, by Alan R. Bromberg and Lewis D. Lowenfels
- *Securities and Federal Corporate Law*, 2d, by Harold S. Bloomenthal and Samuel Wolff
- *Disclosure and Remedies Under the Securities Law*, by Arnold S. Jacobs

## Forms

In the Securities Premier Library, you'll find hundreds of practice-tested securities-related forms, plus expert commentary to help you determine what type of document to draft in a particular situation. Forms volumes in the Library include:

- Securities Regulation Forms
- *Manual of Corporate Forms for Securities Practice*, by Arnold S. Jacobs

## Securities Statutes, Regulations and USCCAN

With increased government interest in passing new securities legislation, it's important that you're always working with the latest statutes and rules. With comprehensive federal and state coverage, the Securities Premier Library ensures that you're always up-to-date. Highlights of coverage include:

- Securities-related sections of the *United States Code Annotated*®
- Securities-related sections of the Code of Federal Regulations
- Blue sky statutes and regulations
- U.S. Code Congressional and Administrative News® (USCCAN)

## Securities Cases

The Securities Premier Library includes comprehensive coverage of securities cases from around the country:

- Federal securities cases from the U.S. Supreme Court, Federal Courts of Appeals and Federal District Courts
- State securities cases from all 50 states and the District of Columbia

## KeyCite®

KeyCite has long been the simplest, most reliable way to determine the status of common securities law documents, as well as to locate other documents that cite your document.

Now, KeyCite offers you even more comprehensive coverage of securities law. To help you find significant, additional citing references, we've added SEC Releases, *Sarbanes-Oxley Act in Perspective* and *Securities Crimes* to KeyCite.

## Add-Ons

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# SECURITIES PREMIER LIBRARY COVERAGE

## Federal Securities-Combined Materials

Federal Securities-Combined Materials FSEC-ALL

## SEC Materials

News Releases (SEC and CFTC) FSEC-NR  
 SEC News Digest FSEC-DIG  
 SEC No-Action Letters FSEC-NAL  
 SEC Releases FSEC-RELS

## CFTC Materials

Commission Decisions FSEC-CFTC  
 Commission Letters FSEC-CFTCLTR

## SRO Materials

Arbitration Awards FSEC-ARB  
 Stock Exchange Disciplinary Decisions FSEC-DISP

## NASD Materials

Arbitration Awards NASD-ARB  
 Code of Federal Regulations NASD-CFR  
 Disciplinary Decisions NASD-DISP  
 Federal Register NASD-FR

Final, Temporary, and Proposed Regulations NASD-REG

Manual NASD-MANUAL

Notices to Members NASD-NOTICE

Office of Hearing Officer Decisions NASD-OHO

## NYSE Materials

Multibase NYSE Materials Combined NYSE  
 Arbitration Decisions NYSE-ARB  
 Constitution NYSE-CONST  
 Constitution and Rules NYSE-RULES  
 Disciplinary Decisions NYSE-DISP  
 Information Memos NYSE-INFOMEM  
 Interpretation Handbook NYSE-INTRP  
 Interpretation Memos NYSE-INTRPMEM  
 Listed Company Manual NYSE-LCM

## Federal Securities Cases

Federal Securities Cases – All Courts FSEC-CS  
 Supreme Court FSEC-SCT  
 Courts of Appeals FSEC-CTA  
 District Courts FSEC-DCT

## Federal Securities Codes, Regulations & Legislative Materials

Federal Securities – Code and Regulations FSEC-CODREG  
 U.S. Code Annotated FSEC-USCA  
 Code of Federal Regulations FSEC-CFR  
 Federal Register FSEC-FR  
 Federal Administrative Materials Combined FSEC-ADMIN  
 Federal Securities – Sworn Certification of Financial Statements FSEC-CERT  
 Final, Temporary, and Proposed Regulations FSEC-REG  
 U.S. Public Laws US-PL  
 U.S. Public Laws (Historical) US-PL-OLD  
 U.S. Orders US-ORDERS  
 Rules FSEC-RULES

## USCCAN & Federal Securities Legislative History Materials

U.S. Code Congressional and Administrative News USCCAN

Executive Orders USCCAN-EO  
 Legislative History Reports USCCAN-REP

Members of the Executive and Congressional Branches USCCAN-MEMBER

Presidential Messages and Signing Statements USCCAN-MSG

Presidential Proclamations USCCAN-PROC

Public Laws USCCAN-PL

Session Highlights USCCAN-SESS

Legislative History-Securities FSEC-LH

**“Blue Books” & Texts**

West’s Securities Law Series Multibase SECSERIES

Master Index: Securities Law Series SECMAINDEX

Acquisitions and Mergers: Negotiated and Contested Transactions SECACQMERG

Analysis of Key SEC No-Action Letters SECKEYNAL

Blue Sky Law SECBLUE

Blue Sky Practice for Public and Private Direct Participation Offerings BSP

Broker-Dealer Regulation BDREG

Broker-Dealer Operations Under Securities and Commodities Law, 2d SECBDOP

Bromberg & Lowenfels on Securities Fraud and Commodities Fraud, 2d SECBROMLOW

Civil Liabilities: Enforcement and Litigation Under the 1933 Act SECCIVIL

Commodities Regulation: Fraud, Manipulation and Other Claims SECCOMMREG

Corporate Anti-Takeover Defenses: The Poison Pill Device SECPILL

Corporate Compliance Series: Securities CORPC-SEC

D & O Liability Handbook DOLIABH

Disclosure and Remedies Under the Securities Laws SECDRSL

Due Diligence – Periodic Reports and Securities Offerings DUEDILSEC

E-Company Guide ECOMP-GDE

Exempted Transactions Under the Securities Act of 1933 SECEXTRANS

Federal Securities Law FESECLAW

Going Public and the Public Corporation SECPUBCORP

Going Public Handbook SECGPH-HB

Hedge Funds and Other Private Funds: Regulation and Compliance SECHEDGE

Insider Trading: Regulation, Enforcement, and Prevention INSIDETRAD

International Capital Markets and Securities Regulation SECINTCAP

Law of Securities Regulation, 5th LAWSECREG

Liability of Attorneys and Accountants for Securities Transactions SECATTACC

Limited Liability Company Handbook SECLLCHB

Limited Offering Exemptions: Regulation D SECREGD

Manual of Corporate Forms for Securities Practice SECRCORPF

Mortgage-Backed Securities MORTSEC

Opinion Letters in Securities Matters: Texts-Clauses-Law SECOPINION

Partnership Law for Securities Practitioners SECPRAC

Proxy Rules Handbook PRXYRH

Real Estate Investment Trusts Handbook SECREITHB

Regulation of Financial Planners SECREFINP

Regulation of Investment Advisers SECREGINA

Resales of Restricted Securities SECRESR

Sarbanes-Oxley Act in Perspective SEC-SOAP

Section 16 of the Securities Exchange Act SEC16

Securities Credit Regulation, 2d SECCREDREG

Securities Crimes SECCRIM

Securities and Federal Corporate Law, 2d SECFCORP

Securities and Federal Corporate Law Report SECFCORP

Securities Law Handbook SECLAW-HB

Securities Litigation: Damages SECLITD

Securities Litigation: Forms and Analysis SECLITFRMS

Securities Practice: Federal and State Enforcement SECPRACTFSE

Securities: Public and Private Offerings, 2d SECPUBPRIV

Securities Regulation Forms SECREFRMS

The S Corporation Handbook SECSORPHB

Tax-Advantaged Securities SECTAXADV

Tax-Advantaged Securities Handbook SECTAXAHB

U.S. Securities Law for International Financial Transactions and Capital Markets, 2d SECINTFTCM

Venture Capital and Small Business Financings SECVENTURE

**Law Reviews & Periodicals**

Fordham Journal of Corporate & Financial Law FDMJCFL

Futures & Derivatives Law Report GLFDLR

WallStreetlawyer.com: Securities in the Electronic Age GLWSLAW

Westlaw Topical Highlights – Securities Regulation WTH-SEC

**PLI Materials**

Accountant’s Liability PLIREF-ACCT

Broker-Dealer Regulation PLIREF-BDR

Corporate Law & Practice PLIREF-CORPL

Corporate Law and Practice Course Handbook Series PLI-CORP

Directors’ and Officers’ Liability PLIREF-DIRLIAB

Financial Product Fundamentals: A Guide for Lawyers PLIREF-FINP

Guide to the Sarbanes-Oxley Act PLIREF-GSOA

Investment Adviser Regulation PLIREF-INVEST

Mutual Fund Regulation PLIREF-MFR

The Sarbanes-Oxley Deskbook PLIREF-SAROX

The Securities Law of Public Finance PLIREF-SECFIN

Understanding the Securities Laws PLIREF-SECLAW

Securities Law Reference Books Multibase PLIREF-SEC

**Securities News**

Securities News Pro (Multibase) SNEWS-PRO

CFO, The Magazine for Senior Financial Executives CFOE

Corporate Finance CORPFIN

Euromoney EUROMONEY

Inc. INC

Institutional Investor INSTINV

Investment Dealer’s Digest INVSMTDD

Mergers and Acquisitions Report MRGAQRPT

Mortgage-Backed Securities Letter MTGBSEC

Private Placement Reporter PVTPLRPT

Securities Week SECWK

Venture Capital Journal VENCAPJ

**SEC Filings & Company Information**

EDGAR – S.E.C. Filings EDGAR

Investext Current PDF Reports INVTEXT-CURRNT

**Profiler**

Profiler – Multibase PROFILER-ALL

Profiles of Attorneys and Judges PROFILER-WLD

Profiles of Expert Witnesses PROFILER-EW

West Legal Directory – Law Firm Articles WLD-ARTICLES

**Blue Sky Materials**

Multistate Blue Sky Materials

Multistate Statutes and Regulations Combined BLUESKY-COMBINED

Multistate Regulations BLUESKY-REG

Multistate Statutes BLUESKY-ST

Multistate Cases MSEC-CS

Multistate Administrative Decisions MSEC-ADMIN

**Individual State Materials**

Cases XXSEC-CS

Administrative Decisions XXSEC-ADMIN

Regulations XXSEC-REG

Statutes XXSEC-ST

Multibase includes individual Blue Sky Statutes and Regulations XXSEC-COMBINED

New York Attorney General Opinions NY-AG

Blue Sky Regulations Supplement (MD and WY) XXSEC-REGSUPP

XX = State postal abbreviation

**WestDockets – Securities**

Securities DOCK-SEC

Federal Circuit Courts of Appeal Index DOCK-INDEX-CTA

Federal District Courts Index DOCK-INDEX-DCT

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